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PTO/SB/21 (09-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

22

Application Number

10/635,949

Filing Date

August 7, 2003

First Named Inventor

Wen-Qing (Peter) Xu

Art Unit

1755

Examiner Name

Michael A. Marcheschi

Attorney Docket Number

27023/04037

**ENCLOSURES (Check all that apply)**☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

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Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Reply to Missing Parts/  
Incomplete Application☐Reply to Missing Parts  
under 37 CFR 1.52 or 1.53☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a  
Provisional Application☐Power of Attorney, Revocation  
Change of Correspondence Address☐

Terminal Disclaimer

☐

Request for Refund

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CD, Number of CD(s) \_\_\_\_\_

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Landscape Table on CD

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After Allowance Communication to TC

☐Appeal Communication to Board  
of Appeals and Interferences☐Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Other Enclosure(s) (please identify  
below):

- EP 1 138 734 A

- Return receipt postcard

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Customer No. 24024

Signature

Printed name

John E. Miller

Date

January 12, 2005

Reg. No.

26,026

**CERTIFICATE OF TRANSMISSION/MAILING**

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Typed or printed name

Debra L. Hale

Date

January 12, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Debra L. Hale

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of	Wen-Qing (Peter) Xu )	
	)	Art Unit: 1755
	)	
Serial No.: 10/635,949	)	Examiner: Michael A. Marcheschi
	)	
Filed: August 7, 2003	)	Customer No. 24024
	)	
For: <b>CMP SLURRY</b>	)	Attorney Docket No.: 27023/04037
	)	
	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants submit the attached copy of the International Search Report issued by the EPO in the corresponding PCT application on December 21, 2004, along with a copy of cited Patent No. EP 1 138 734 for your reference.

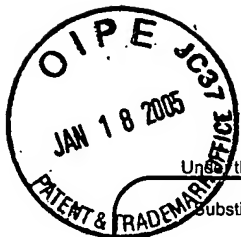
U.S. Patent No. 6,303,506 B1 cited in the Search Report was previously cited by the Applicants to the USPTO in the subject application.

If there are any further fees due in connection with this matter, please charge our Deposit Account No. 03-0172.

Respectfully submitted,

John E. Miller (Reg. No. 26,206)  
Telephone (216) 622-8679  
Facsimile (216) 241-0816

Date \_\_\_\_\_



PTO/SB/08A (02-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/635,949
Filing Date	August 7, 2003
First Named Inventor	Wen-Qing (Peter) Xu et al.
Art Unit	1755
Examiner Name	Michael A. Marcheschi
Attorney Docket Number	27023/04037

Sheet 1 of 1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,527,622 B1	03-04-2003	Brusic et al.	Col. 3, lines 40-42, claims 1, 7
		US- 2003/0006397 A1	01-09-2003	Srinivasan et al.	Table 2, claims 1, 4
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EP 1 138 734	10-04-2001	JSR Corporation	Claims 1, 13	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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